

# CS5733 Program Synthesis

## #3.Optimizing the Enumerative Search

Ashish Mishra

# Logistics

- Reviews:
  - Due tomorrow
- Projects:
  - Topics: due next Thursday
- Other questions?

# Academic paper reading workshop... on steroids

- Abstract and Introduction. Sec. 0 and 1.
- Overview:
  - Understand the Problem and the Solution. Sec. 2 and 3.
- Technical Discussions: Sec. 4 - ?X (Anything Before Evaluation)
  - Dig deeper into the Solution
  - and/or formally understand the problem.
- Proofs/Evaluation/Implementation: Sec. ?X
  - Proofs for theorems — Typically in PL Papers —
  - Evaluations of the ideas explained in Sec 2&3 and Discussed in Sec 4-?X
    - Comparison with an appropriate baseline.
  - Sometimes other specific RQs
- Conclusion, Related work, Future works.

# **EUSolver as an Example**

# SyGuS Continue...

# Enumerative Search

=

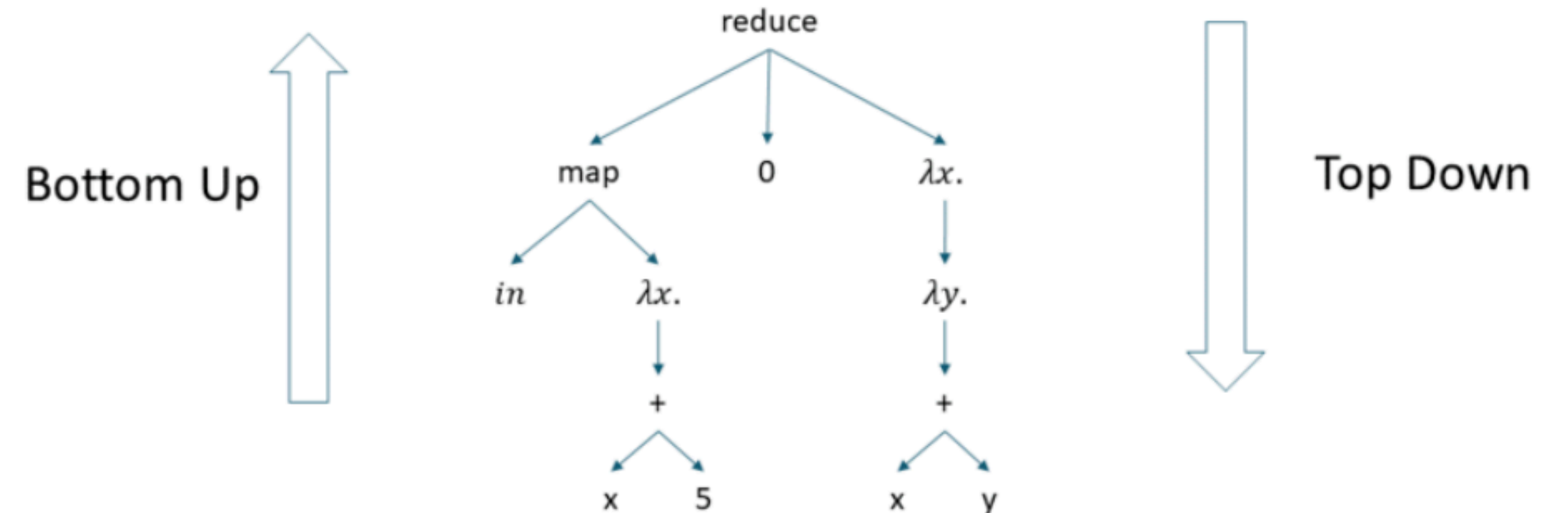
Explicit / Exhaustive Search

**Idea:** Enumerate programs from the grammar one by one and test them on the examples

**Challenge:** How do we systematically enumerate all programs?

top-down vs bottom-up

reduce (map in  $\lambda x. x + 5$ ) 0  $\lambda x. \lambda y. x + y$



FP Trivia:

reduce (map in  $\lambda x. x + 5$ ) 0 ( $\lambda x. \lambda y. (x + y)$ )

functions : map, reduce

# Top-down enumeration: search space

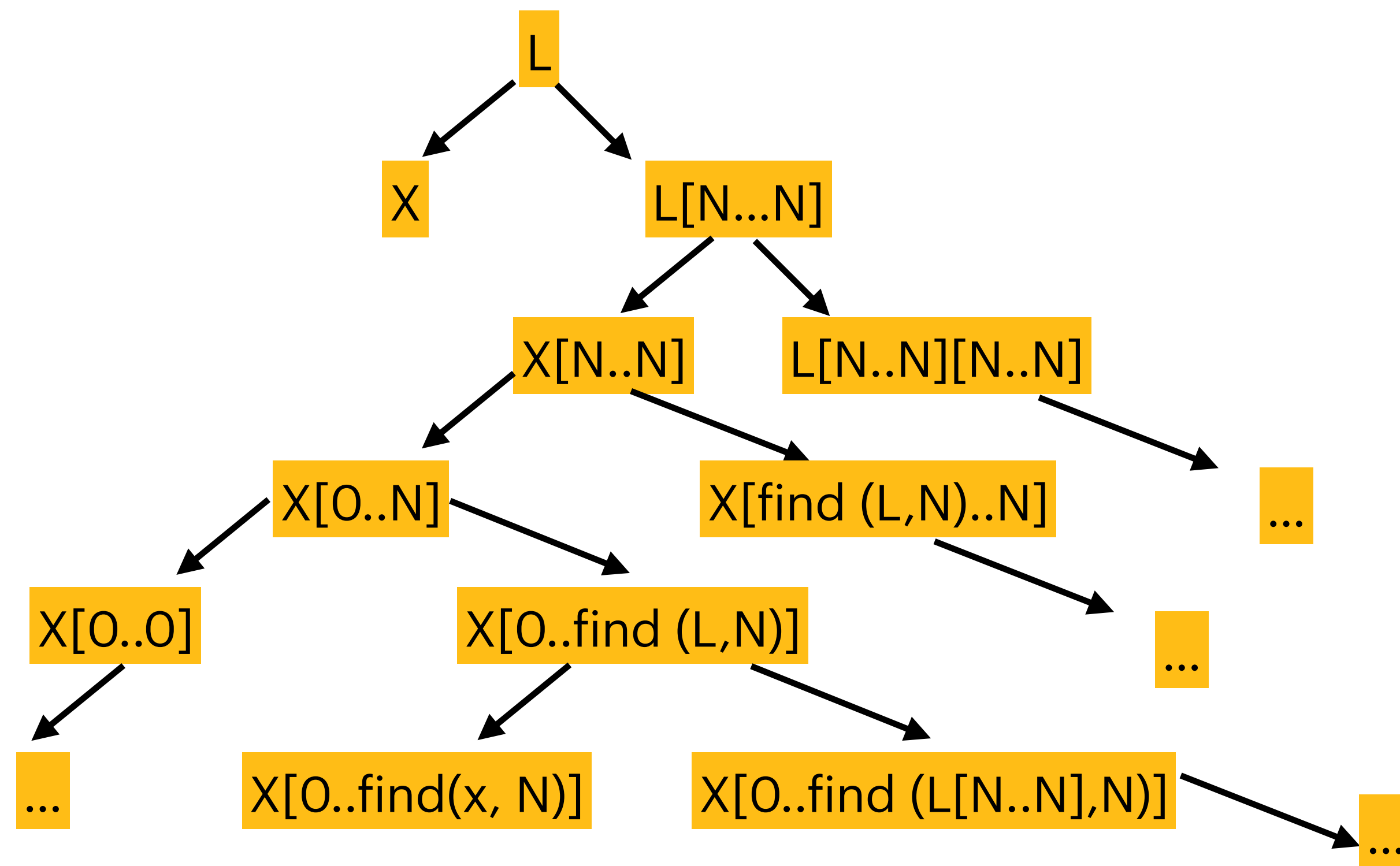
Search space is a tree where

- nodes are whole incomplete programs
- edges are “derives in one step”

$L ::= L[N..N] \quad |$

$\quad X$   
 $N ::= \text{find}(L,N) \quad |$   
 $\quad \emptyset$

$[[1,4,0,6] \rightarrow [1,4]]$



# Top-down enumeration = traversing the tree

- Search tree can be traversed:
  - depth-first (for fixed max depth)
  - breadth-first
  - later in class: best-first
- General algorithm:
  - Maintain a worklist of incomplete programs
  - Initialize with the start non-terminal
  - Expand left-most non-terminal using all productions

```
L ::= L[N..N] |  
      x  
N ::= find(L,N) |  
      0
```

```
[[1,4,0,6]] → [[1,4]]
```



# Top-down Algorithm

nonterminals rules (productions)  
alphabet starting nonterminal  
top-down( $\langle \Sigma, N, R, S \rangle, [i \rightarrow o]$ ):  
w1 := [S]  
while (w1 != []):  
     $\tau := w1.dequeue()$   
    if (complete( $\tau$ )  $\wedge$   $\tau([i]) = [o]$ ):  
        return  $\tau$   
    w1.enqueue(unroll( $\tau$ ))

unroll( $\tau$ ):  
w1' := []  
A := left-most non-term in  $\tau$   
forall (A  $\rightarrow$  rhs) in R:  
     $\tau' = \tau[A \rightarrow rhs]$   
    if !exceeds\_bound( $\tau'$ ): w1' +=  $\tau'$   
return w1'

L ::= L[N..N] |  
    x  
N ::= find(L,N) |  
     $\emptyset$   
[[1,4,0,6]  $\rightarrow$  [1,4]]

depth- or breadth-first  
depending on where you enqueue  
can impose bounds on depth/size

# Top-down: example (depth-first)

Worklist w

iter 0: L

iter 1: x<sup>x</sup> L[N..N]

iter 2: L[N..N]

iter 3: x[N..N] L[N..N][N..N]

iter 4: x[0..N] x[find(L,N)..N] L[N..N][N..N]

iter 5: x[0..0]<sup>x</sup> x[0.. find(L,N)] x[find(L,N)..N] ...

iter 6: x[0.. find(L,N)] x[find(L,N)..N] ...

iter 7: x[0.. find(x,N)] x[0.. find(L[N..N],N)] ...

iter 8: x[0.. find(x,0)]<sup>✓</sup> x[0.. find(x,find(L,N))] ...

iter 9:

L ::= L[N..N] |  
 x  
 N ::= find(L,N) |  
 0

[ [1,4,0,6] → [1,4] ]

# Bottom-up enumeration

The dynamic programming approach:

- Maintain a **bank** of complete programs
- Combine programs in the **bank** into larger programs using productions

```
L ::= sort(L)      |  
      L[N..N]     |  
      L + L       |  
      [N]         |  
      x           |  
N ::= find(L,N)   |  
      0           |
```

$[[1,4,0,6]] \rightarrow [1,4]$

# Bottom-up: algorithm (take 1)

nonterminals rules (productions)  
alphabet starting nonterminal  
 bottom-up ( $\langle \Sigma, N, R, S \rangle, [i \rightarrow o]$ ):  
 bank := {}  
 for d in [0..]:  
   forall ( $A \rightarrow \text{rhs}$ ) in R:  
     forall t in new-terms( $A \rightarrow \text{rhs}$ , d, bank):  
       if ( $A = S \wedge t([i]) = [o]$ ):  
         return t  
       bank += t;

new-terms( $A \rightarrow \sigma(A_1 \dots A_k)$ , d, bank):  
 if ( $d = 0 \wedge k = 0$ ) yield  $\sigma$   
 else forall  $\langle t_1, \dots, t_k \rangle$  in bank<sup>k</sup>:  
   if  $A_i \rightarrow^* t_i$ : yield  $\sigma(t_1, \dots, t_k)$

```

L ::= sort(L)      |
      L[N..N]     |
      L + L       |
      [N]         |
      X           |
N ::= find(L,N)   |
      0           |
[[1,4,0,6]] → [1,4]
  
```

← inefficient, better index bank by non-terminal!

# Bottom-up: algorithm (take 2)

nonterminals rules (productions)  
alphabet starting nonterminal  
 bottom-up ( $\langle \Sigma, N, R, S \rangle, [i \rightarrow o]$ ):  
 bank := {}  
 for d in [0..]:  
   forall ( $A \rightarrow \text{rhs}$ ) in R:  
     forall t in new-terms( $A \rightarrow \text{rhs}$ , d, bank):  
       if ( $A = S \wedge t([i]) = [o]$ ):  
         return t  
       bank += t;

```

L ::= sort(L)      |
      L[N..N]     |
      L + L       |
      [N]         |
      X           |
N ::= find(L,N)   |
      0           |
[[1,4,0,6]] → [1,4]
  
```

new-terms( $A \rightarrow \sigma(A_1 \dots A_k)$ , d, bank):

if ( $d = 0 \wedge k = 0$ ) yield  $\sigma$

else forall  $\langle t_1 \dots t_k \rangle$  in bank[ $A_1$ ]  $\times$  ... bank[ $A_k$ ]:

  yield  $\sigma(t_1, \dots, t_k)$

inefficient, generating same terms again and again!  
 better index bank by depth

# Bottom-up: algorithm (take 3)

nonterminals rules (productions)  
alphabet starting nonterminal  
 bottom-up ( $\langle \Sigma, N, R, S \rangle, [i \rightarrow o]$ ):  
 bank := {}  
 for d in [0..]:  
   forall (A  $\rightarrow$  rhs) in R:  
     forall t in new-terms(A $\rightarrow$ rhs, d, bank):  
       if (A = S  $\wedge$  t([i]) = [o]):  
         return t  
       bank += t;

```

L ::= sort(L)      |
    L[N..N]       |
    L + L          |
    [N]           |
    X              |
N ::= find(L,N)   |
    0              |
[[1,4,0,6]]  $\rightarrow$  [1,4]
  
```

new-terms(A  $\rightarrow$   $\sigma(A_1 \dots A_k)$ , d, bank):  
 if (d = 0  $\wedge$  k = 0) yield  $\sigma$   
 else forall  $\langle d_1, \dots, d_k \rangle$  in  $[0..d-1]^k$  s.t.  $\max(d_1, \dots, d_k) = d-1$ :  
   forall  $\langle t_1, \dots, t_k \rangle$  in bank[A<sub>1</sub>, d<sub>1</sub>]  $\times$  ...  $\times$  bank[A<sub>k</sub>, d<sub>k</sub>]:  
     yield  $\sigma(t_1, \dots, t_k)$

# Bottom-up: example

Program bank

d= 0:

x     $\emptyset$

d =1:

sort(x)    x + x    x[ $\emptyset..0$ ]    [ $\emptyset$ ]  
find(x, $\emptyset$ )

d = 2:

sort(sort(x))    sort(x[ $\emptyset..0$ ])    sort(x + x)  
sort([ $\emptyset$ ])    x + (x + x)    x + [ $\emptyset$ ]    sort(x) + x  
x[ $\emptyset..0$ ] + x    (x + x) + x    [ $\emptyset$ ] + x    x + x[ $\emptyset..0$ ]  
x + sort(x)    x[ $\emptyset..find(x,\emptyset)$ ]

```
L ::= sort(L)      |  
      L[N..N]     |  
      L + L       |  
      [N]         |  
      x           |  
N ::= find(L,N)   |  
       $\emptyset$       |  
[[1,4,0,6] → [1,4]]
```

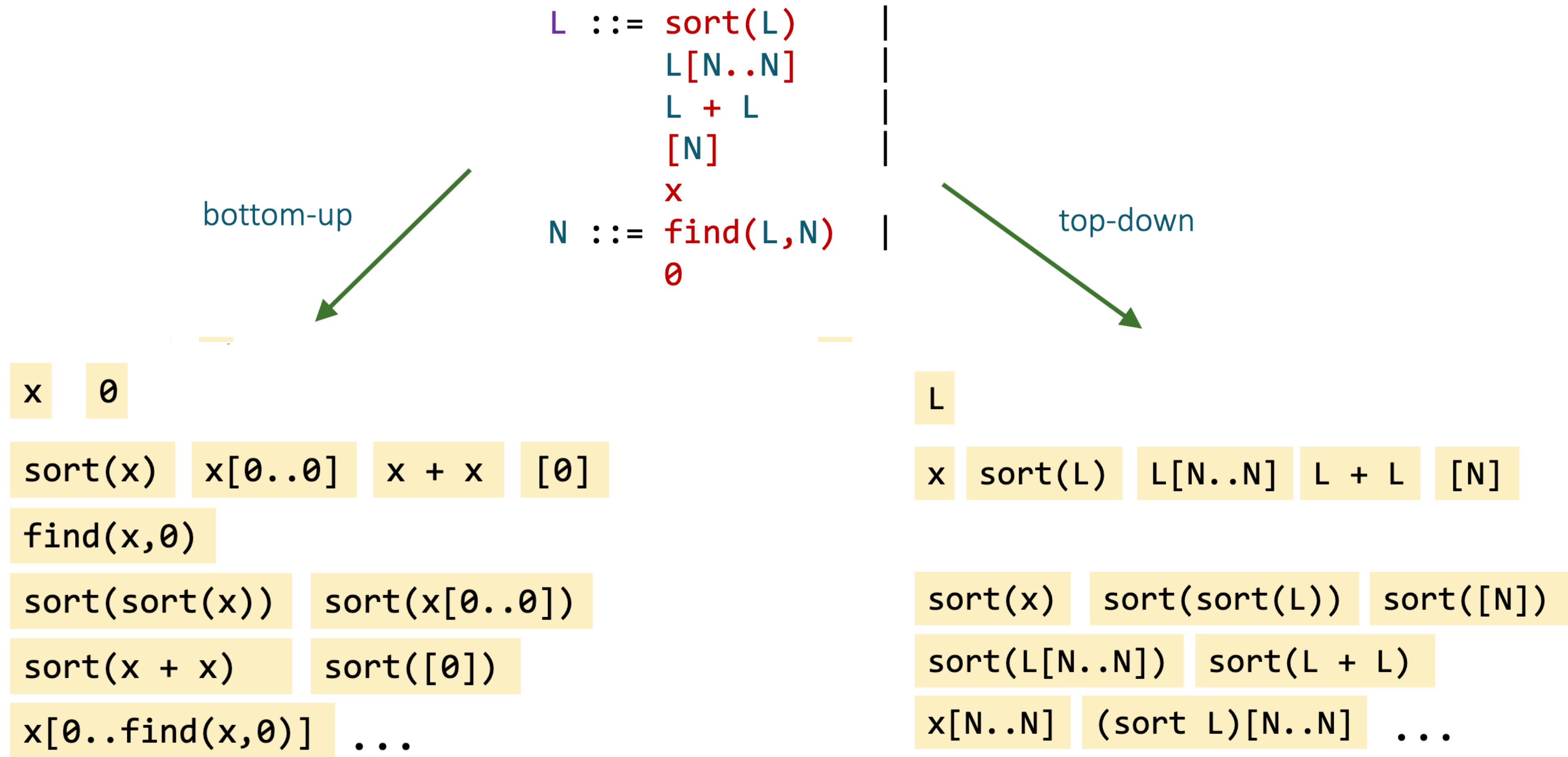


# Explicit search from grammars

- Limitations:
  - Only scales to very small programs
  - Unsuitable for programs with unknown constants
    - A single unknown 32-bit constant makes the problem intractable
  - Hard to deal with context dependent semantics
- Example system:
  - Recursive Program Synthesis [Albarghouthi et al., CAV 2013]



# Enumerative search



# Bottom-up vs top-down

## Top-down

## Bottom-up

Smaller to larger depth

- Has to explore between  $3 \cdot 10^9$  and  $10^{23}$  programs to find `sort(x[0..find(x, 0)]) + [0]` (depth 6)

Candidates are **whole** but might not be **complete**

- Cannot always run on inputs
- Can always relate to outputs (?)

Candidates are **complete** but might not be **whole**

- Can always run on inputs
- Cannot always relate to outputs

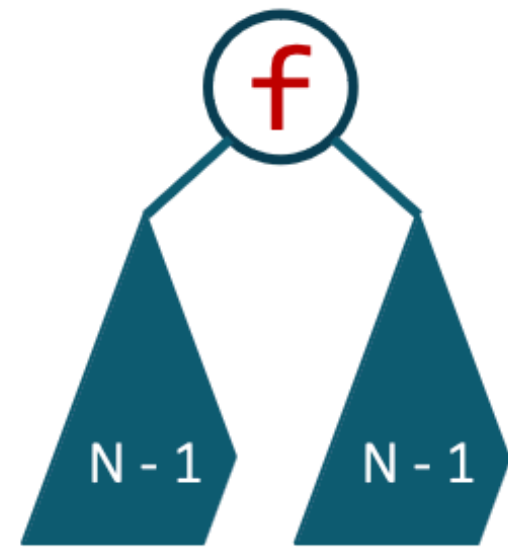
# How to make it scale

## Prune

Discard useless subprograms



$$m * N^2$$



$$m * (N - 1)^2$$

Useless depends on the problem and the domain.

## Prioritize

Explore more promising candidates first

$$P = \{ [0][N..N], x[N..N], \dots \}$$

← dequeue this first

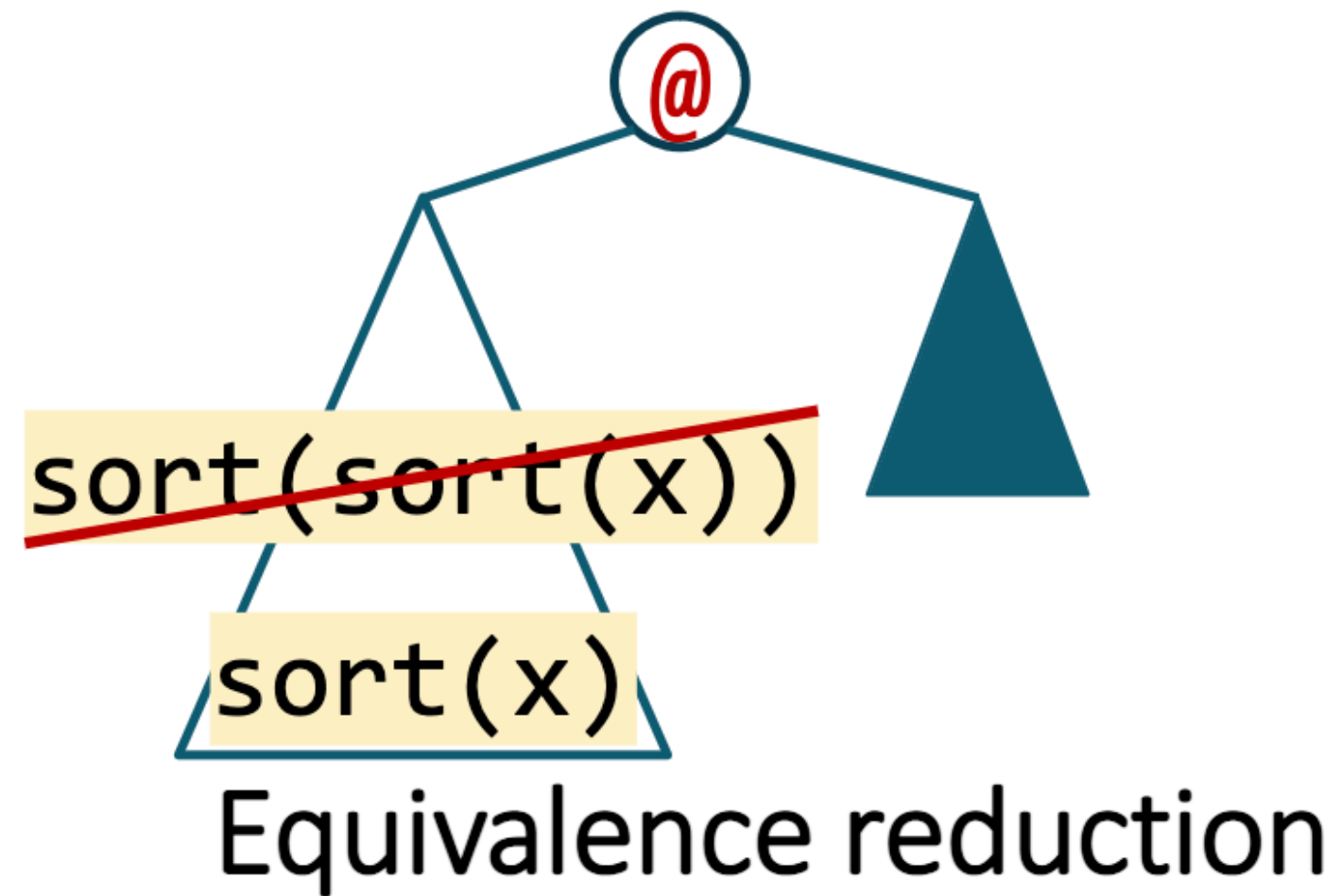
Equivalent terms

Terms guaranteed not to lead to a solution

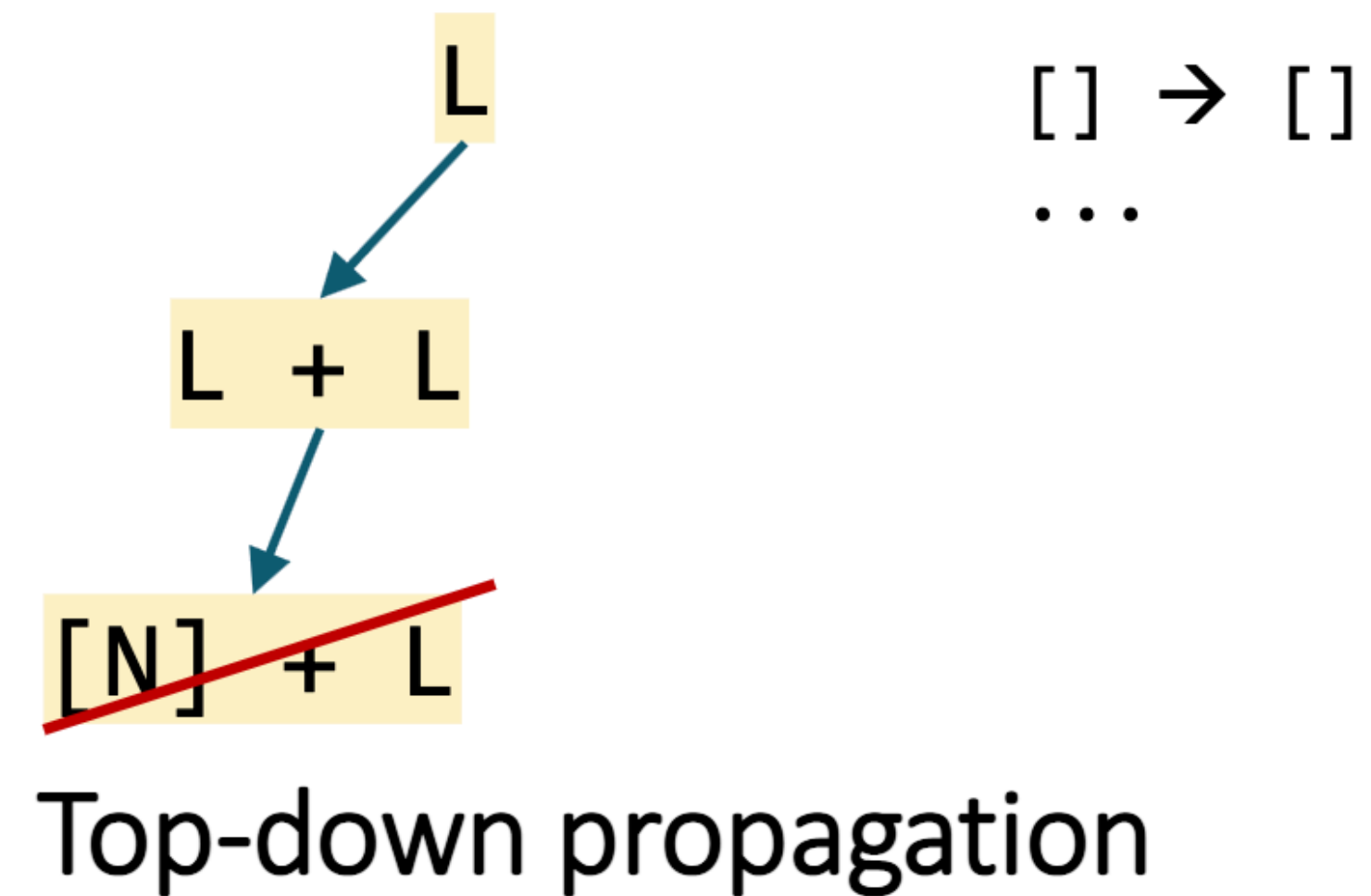
# **Now this : Optimizing the Search**

# When can we discard a program?

redundant



infeasible



# Equivalent programs

```
L ::= sort(L)
      L[N..N]
      L + L
      [N]
      x
N ::= find(L, N)
      0
```

bottom\_up  
→

```
x 0
sort(x) x[0..0] x + x [0] find(x,0)
sort(sort(x)) sort(x + x) sort(x[0..0])
sort([0]) x[0..find(x,0)] x[find(x,0)..0]
x[find(x,0)..find(x,0)] sort(x)[0..0]
x[0..0][0..0] (x + x)[0..0] [0][0..0]
x + (x + x) x + [0] sort(x) + x x[0..0] + x
(x + x) + x [0] + x x + x[0..0] x + sort(x)
...
```

# Equivalent programs

```

L ::= sort(L)
    L[N..N]
    L + L
    [N]
    x
N ::= find(L,N)
    0
  
```

bottom\_up  
→

```

x  0
sort(x)  x[0..0]  x + x  [0]  find(x,0)
sort(sort(x))  sort(x + x)  sort(x[0..0])
sort([0])  x[0..find(x,0)]  x[find(x,0)..0]
x[find(x,0)..find(x,0)]  sort(x)[0..0]
x[0..0][0..0]  (x + x)[0..0]  [0][0..0]
x + (x + x)  x + [0]  sort(x) + x  x[0..0] + x
(x + x) + x  [0] + x  x + x[0..0]  x + sort(x)
...
  
```

# Equivalent programs

```

L ::= sort(L)
    L[N..N]
    L + L
    [N]
    x
N ::= find(L,N)
    0
  
```

bottom\_up  
→

```

x  0
sort(x)  x[0..0]  x + x  [0]  find(x,0)
          sort(x + x)
          x[0..find(x,0)]
x + (x + x)  x + [0]  sort(x) + x
              [0] + x              x + sort(x)
...
  
```

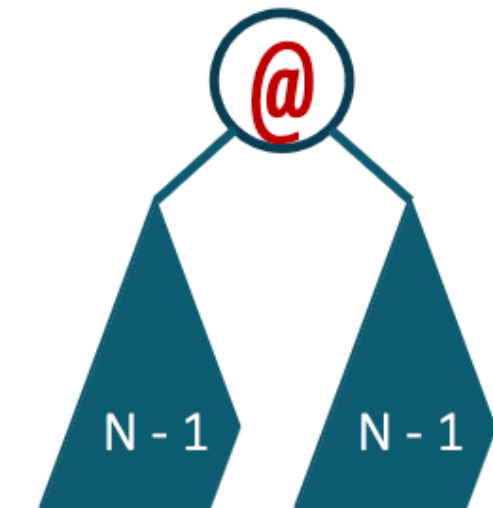


# Bottom-up + equivalence reduction

```
bottom-up (< $\Sigma$ , N, R, S>, [i  $\rightarrow$  o]):  
  bank[A,d] := {} forall A, d  
  for d in [0..]:  
    forall (A  $\rightarrow$  rhs) in R:  
      forall t in new-terms(A $\rightarrow$ rhs, d, bank):  
        if (A = S  $\wedge$  t([i]) = [o]):  
          return t  
          if (forall t' in bank[A,.]: !equiv(t,t')):  
            bank[A,d] += t
```



$$m * N^2$$



$$m * (N - 1)^2$$

```
new-terms(A  $\rightarrow$   $\sigma(A_1 \dots A_k)$ , d, bank):  
  if (d = 0  $\wedge$  k = 0) yield  $\sigma$   
  else forall <d1, ..., dk> in [0..d-1]k s.t. max(d1, ..., dk) = d-1:  
    forall <t1, ..., tk> in bank[A1, d1]  $\times$  ...  $\times$  bank[Ak, dk]:  
      yield  $\sigma(t_1, \dots, t_k)$ 
```

# Bottom-up + equivalence reduction

```
bottom-up (< $\Sigma$ , N, R, S>, [ $i \rightarrow o$ ]):  
  bank[A,d] := {} forall A, d  
  for d in [0..]:  
    forall (A  $\rightarrow$  rhs) in R:  
      forall t in new-terms(A $\rightarrow$ rhs, d, bank):  
        if (A = S  $\wedge$  t([i]) = [o]):  
          return t  
          if (forall t' in bank[A,.]: !equiv(t,t')):  
            bank[A,d] += t
```

```
new-terms(A  $\rightarrow$   $\sigma(A_1 \dots A_k)$ , d, bank):  
  if (d = 0  $\wedge$  k = 0) yield  $\sigma$   
  else forall <d1, ..., dk> in [0..d-1]k s.t. max(d1, ..., dk) = d-1:  
    forall <t1, ..., tk> in bank[A1,d1]  $\times$  ...  $\times$  bank[Ak,dk]:  
      yield  $\sigma(t_1, \dots, t_k)$ 
```

How do we implement equiv?

- In general undecidable
- For SyGuS problems: expensive
- Doing expensive checks on every candidate defeats the purpose of pruning the space!

# Observational equivalence

bottom-up ( $\langle \Sigma, N, R, S \rangle, [i \rightarrow o]$ ):  
 { ... }

```
equiv(t, t') {
  return t([i]) = t'([i])
}
```

$[[\emptyset] \rightarrow [\emptyset]]$

x  $\emptyset$

sort(x) x[0..0] x + x [0] find(x,0)

In PBE, all we care about is  
 equivalence on the given inputs!

- easy to check efficiently
- even more programs are equivalent

sort(x + x)

x[0..find(x,0)]

x + (x + x) x + [0] sort(x) + x

[0] + x

x + sort(x)

# Observational equivalence

bottom-up ( $\langle \Sigma, N, R, S \rangle, [i \rightarrow o]$ ):  
 { ... }

```
equiv(t, t') {
  return t([i]) = t'([i])
}
```

$[[\theta] \rightarrow [\theta]]$

$x \quad \theta$

$sort(x) \quad x[\theta..0] \quad x + x \quad [\theta] \quad find(x, \theta)$

$sort(x + x)$

$x[\theta..find(x, \theta)]$

$x + (x + x) \quad x + [\theta] \quad sort(x) + x$   
 $[\theta] + x$

$x + sort(x)$

# Observational equivalence

bottom-up ( $\langle \Sigma, N, R, S \rangle, [i \rightarrow o]$ ):  
{ ... }

```
equiv(t, t') {  
  return t([i]) = t'([i])  
}
```

$[[\theta]] \rightarrow [\theta]$

$x$   $\theta$

$x[\theta..0]$

$x + x$

how to implement the reduction  
efficiently?

$x + (x + x)$

# Observational equivalence

Proposed simultaneously in two papers:

- Udupa, Raghavan, Deshmukh, Mador-Haim, Martin, Alur: [TRANSIT: specifying protocols with concolic snippets](#). PLDI'13
- Albarghouthi, Gulwani, Kincaid: [Recursive Program Synthesis](#). CAV'13

Variations used in most bottom-up PBE tools:

- ESolver (baseline SyGuS enumerative solver)
- EUSolver [Alur et al. TACAS'17]
- Probe [Barke et al. OOPSLA'20]
- TFCoder [Shi et al. TOPLAS'22]

# User-specified equations

[Smith, Albarghouthi: VMCAI'19]

Equations

$\text{sort}(\text{sort}(l)) = \text{sort}(l)$   
 $(l_1 + l_2) + l_3 = l_1 + (l_2 + l_3)$   
 $n = n + \emptyset$   
 $n + m = m + n$

derived  
automatically  
→

Term-rewriting system (TRS)

1.  $\text{sort}(\text{sort}(l)) \rightarrow \text{sort}(l)$
2.  $(l_1 + l_2) + l_3 \rightarrow l_1 + (l_2 + l_3)$
3.  $n + \emptyset \rightarrow n$
4.  $n + m \xrightarrow{(n > m)} m + n$

$x$   $\emptyset$

$\text{sort}(x)$   $x[\emptyset..\emptyset]$   $x + x$   $[\emptyset]$   $\text{find}(x, \emptyset)$

~~$\text{sort}(\text{sort}(x))$~~  rule 1 applies, not in *normal form*

# Built-in equivalences

For a predefined set of operations, equivalence reduction can be hard-coded in the tool or built into the grammar

$L ::= \text{sort}(L)$		$L ::= L1 \mid L1 + L$
$L[N..N]$		$L1 ::= \text{sort}(L)$
$L + L$		$L[N..N]$
$[N]$		$[N]$
$x$		$x$
$N ::= \text{find}(L, N)$		$N ::= \text{find}(L, N)$
$\emptyset$		$\emptyset$



# Built-in equivalences

Used by:

- $\lambda^2$  [Feser et al.'15]
- Leon [Kneuss et al.'13]

Leon's implementation using *attribute grammars* described in:

- Koukoutos, Kneuss, Kuncak: An Update on Deductive Synthesis and Repair in the Leon tool [SYNT'16]

# Equivalence reduction: comparison

## Observational

- Very general, no user input required
- Finds more equivalences
- Can be costly (with many examples, large outputs)
- If new examples are added, has to restart the search

## User-specified

- Fast
- Requires equations

## Built-in

- Even faster
- Restricted to built-in operators
- Only certain symmetries can be eliminated by modifying the grammar

Q1: Can any of them apply to top-down?

Q2: Can any of them apply beyond PBE?

# Other Strategies: Synthesis Through Unification

- Idea: Solve many simpler problems, combine their solutions.
- Rajeev Alur, Pavol Černý, Arjun Radhakrishna, Synthesis Through Unification, 2015
- STUN provides a general framework for breaking down a global search into a series of local searches.